

Atty. Docket No. ADV12 P-301A

CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this paper, together with all enclosures identified herein, is being transmitted via facsimile to the Commissioner for Patents, at 703-872-9306, on the date indicated below. A total of 7 pages should be received.

02-16-2005
Date

Jaclyn R. Folkema
Jaclyn R. Folkema

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

FEB 16 2005

Art Unit : 1761
Examiner : Helen F. Pratt
Applicants : John F. Stevens et al.
Serial No. : 09/960,191
Filing Date : September 21, 2001
Confirmation No. : 4580
For : RETICULATION-FREE WATER-DISPERSIBLE COATING
COMPOSITION FOR FOOD SUBSTRATES

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Dear Sir:

**REQUEST FOR ACKNOWLEDGEMENT OF CONSIDERATION OF A
PREVIOUSLY SUBMITTED INFORMATION DISCLOSURE STATEMENT**

Upon reviewing the file to pay the Issue Fee, Applicants noted that the references shown in the First Supplemental Information Disclosure Statement filed on March 16, 2004, had not been initialed by the Examiner and returned with any Office Action. For the Examiner's convenience, a copy of the stamped received First Supplemental Information Disclosure Statement taken from the Patent Application Information Retrieval (PAIR) website is transmitted herewith along with a copy of the stamped received postcard. Applicants

Applicants : John F. Stevens et al.
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respectfully request the Examiner to initial the form and return it to Applicants. If the form has already been initialed, Applicants request the Examiner to forward a copy for Applicants' records.

Respectfully submitted,

JOHN F. STEVENS ET AL.

By: PRICE, HENEVELD, COOPER,
DEWITT & LITTON, LLP

2/16/2005
Date


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Atty. Docket No. ADV12 P-301A

CERTIFICATE OF MAILING

I hereby certify that this paper, together with all enclosures identified herein, are being deposited with the United States Postal Service as first class mail, addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450, on the date indicated below.

03-16-04
Date

Sharla A. Waller
Sharla A. Waller

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Art Unit : 1761
Examiner : Helen F. Pratt
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Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Dear Sir:

FIRST SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §1.97(c)

Pursuant to 37 C.F.R. §§1.56 and 1.97(c), Applicants bring to the attention of the Examiner the documents listed on the attached Form PTO-1449. This First Supplemental Information Disclosure Statement is being filed after the events recited in §1.97(b) but to the undersigned's knowledge, before the mailing date of either a Final Action or a Notice of Allowance. Under the provisions of 37 C.F.R. §1.97(c), this First Supplemental Information Disclosure Statement is accompanied by a fee of \$180.00 as specified by §1.17(p).

Copies of the listed documents are submitted herewith along with Form PTO-1449. Applicants respectfully request that the Examiner consider the listed documents and evidence that consideration by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If it should be determined that any of the listed documents do not

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constitute "prior art" under United States law, Applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to Deposit Account No. 16-2463.

Respectfully submitted,

JOHN F. STEVENS ET AL.

By: PRICE, HENEVELD, COOPER,
DEWITT & LITTON, LLP

3/16/2004
Date

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TAV/saw

Sheet 1 of 2

FORM PTO-1449
(Rev. 2-32)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
ADV12 P-301ASERIAL NO.
09/880,191INFORMATION DISCLOSURE
STATEMENT BY APPLICANT
(Use several sheets if necessary)APPLICANTS
John F. Stevens et al.FILING DATE
September 21, 2001GROUP
1761

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	6 6 3 5 2 9 4	10/2003	Kaljbets			
	6 2 8 8 1 7 9	09/2001	Baur et al.			
	6 2 6 5 0 0 5	07/2001	Havarkos et al.			
	6 2 1 7 9 2 1	04/2001	Lanner et al.			
	6 1 9 7 3 6 3	03/2001	Walter, Jr. et al.			
	6 1 5 9 5 2 1	12/2000	Horn et al.			
	6 1 1 3 9 5 7	09/2000	Mattinson et al.			
	6 0 8 6 9 2 6	07/2000	Stevens et al.			
	6 0 8 0 4 3 4	06/2000	Horn et al.			
	6 0 2 2 5 6 9	02/2000	Rogols et al.			
	5 9 6 5 1 8 9	10/1999	Stevens et al.			
	5 4 9 2 7 0 7	02/1998	Chalupa et al.			
	5 4 8 4 6 1 7	01/1996	Tiffany			

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	0 0 6 5 9 3 2	11/2000	PCT				

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)

	84 th AACC Annual Meeting Symposia and Reports, A. Jurgens, J.M. Maagd, and A.J. ten Dam, Oct. 31-Nov. 3, 1999 (16 pages)
	Specialty-Corn Types, David V. Glover, Dept. of Agronomy, Purdue University, October 1998 (1 page)
	Capolex B; Specialty Coatings for Snack Foods & Baked Goods, Centarchem, Inc., 1998 (4 pages)

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

(Form PTO-1449 [6-4])

Sheet 2 of 2

FORM PTO-1449
(Rev. 2-32)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
ADV12 P-301ASERIAL NO.
08/860,191INFORMATION DISCLOSURE
STATEMENT BY APPLICANT
(Use several sheets if necessary)APPLICANTS
John F. Stevens et al.FILING DATE
September 21, 2001GROUP
1761

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5 4 3 1 9 4 4	07/1995	Melvej			
	5 2 6 0 0 7 6	11/1993	Furcsik et al.			
	5 2 0 4 1 3 7	04/1993	Slimak			
	5 1 2 0 5 6 2	06/1992	Furcsik et al.			
	5 0 3 5 9 1 2	07/1991	Furcsik et al.			
	4 7 9 2 4 5 8	12/1988	Friedman et al.			
	4 7 9 0 9 9 7	12/1988	Friedman et al.			
	4 7 1 0 2 2 8	12/1987	Seaborn et al.			
	4 5 2 0 0 3 4	05/1985	Ishii et al.			
	4 0 5 3 6 5 0	10/1977	Chino et al.			

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

		U.S. Patent Application Publication No. 2003/0044488 A1, March 6, 2003, Roskem et al.
		U.S. Patent Application Publication No. 2002/0119219 A1, August 29, 2002, Doyle et al.
		Prior Art Batter Formula (1 page)

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

(Form PTO-1449 [6-4])

March 16, 2004



Commissioner for Patents
P O Box 1450
Alexandria VA 22313-1450

Applicants : John F. Sienkiewicz et al.
Appln. No. : 09/960,191
For : RETICULATION-FREE WATER-DISPERSIBLE COATING
COMPOSITION FOR FOOD SUBSTRATES

Sir: Kindly acknowledge receipt of the accompanying First Supplemental Information Disclosure Statement (2 pages); Form PTO-1449 (2 pages), copies of IDS citations; and \$180 Fee by placing your receiving date stamp hereon and mailing. Thank you.

ADV12 P-301A/saw